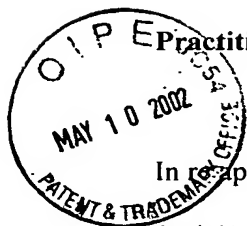


PCT  
PATENT #4



Practitioner's Docket No.: 967\_023

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Koichi Komoda

Serial No.: 10/031,360

Art Unit: Not Assigned

Filed: January 18, 2002

Examiner: Not Assigned

For: PLAYBACK/RECORDING APPARATUS

**Box Missing Parts**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231 on May 3, 2002.  
*Susanne C. Aregano*  
Susanne C. Aregano

**COMPLETION OF FILING REQUIREMENTS  
— NON-PROVISIONAL APPLICATION**

*(check and complete this item, if applicable)*

- I. ☒ This replies to the Notice to File Missing Parts of Non-Provisional Application mailed April 1, 2002.
- ☒ A copy of the Notice to File Missing Parts of Non-Provisional Application—Filing Date Granted is enclosed.

**DECLARATION OR OATH**

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**OTHER PAPERS ENCLOSED**

- III. ☐ A Preliminary Amendment canceling claims without prejudice or disclaimer  
☒ Assignment with Assignment Transmittal

**TRANSMITTAL OF ENGLISH TRANSLATION  
OF NON-ENGLISH LANGUAGE PAPERS**

- IV. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

## SMALL ENTITY STATUS

V. ☐ A statement that this filing is by a small entity is attached.

☐ A separate refund request accompanies this paper.

☐ was filed on \_\_\_\_\_ (original).

## COMPLETION FEES

VI. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

NOTE: For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).

1. Filing Fee  
☐ Original Patent Application \$     .00  
(37 C.F.R. 1.16(a) --\$740.00; Small Entity--\$370.00)
  2. Fees for Claims ( Claims; Indep.)  
☐ each independent claim in excess of 3 \$     .00  
(37 C.F.R. 1.16(b)--\$84.00; small entity--\$42.00)  
☐ each claim in excess of 20 \$     .00  
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)  
☐ multiple dependent claim(s) \$     .00  
(37 C.F.R. 1.16(d)--\$280.00; small entity--\$140.00)
  3. Surcharge fees  
☐ late payment of filing fee \$     .00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)  

and/or

☒ late filing of original declaration or oath \$ 130.00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).
4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$     .00  
(37 C.F.R. 1.17(i) and 1.47--\$130.00)
  5. ☐ Fee for processing an application filed with a specification in a non-English language \$     .00  
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)
  6. ☒ Assignment Fee \$ 40.00

**Total Completion Fees** **\$170.00**

05/15/2002 LLANDGRA 00000024 10031360

01 FC:154

130.00 OP

## EXTENSION OF TIME

### VII.

(complete (a) or (b), as applicable)

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below:

	Extension (Months)	Fee for other than small entity	Fee for small entity
	One Month	\$ 110.00	\$ 55.00
	Two Months	\$ 380.00	\$ 190.00
	Three Months	\$ 870.00	\$ 435.00

Extension Fee

\$ 00.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- [ ] An extension for \_\_\_\_\_ months has already been secured, and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 00.00

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## TOTAL FEE DUE

### VIII.

The total fee due is:	Completion fee(s)	\$170.00
	Extension fee (if any)	<u>\$ .00</u>
	<b>Total Fee Due</b>	<b>\$170.00</b>

## PAYMENT OF FEES

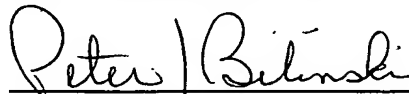
- IX. ☒ Enclosed is a check in the amount of \$170.00.  
[ ] Charge Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A duplicate of this request is attached.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES OR  
CREDIT OVERPAYMENT**

- X.      ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289.  
         ☒ Any additional filing fees required under 37 CFR 1.16.  
         ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,


Wall, Marjama & Bilinski LLP



Peter J. Bilinski  
Reg. No. 35,067

May 3, 2002  
Date

PJB/sca  
Phone: 315-425-9000

Customer No.:   
20874

PATENT TRADEMARK OFFICE



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/031,360	Komoda Koichi	967 023

Peter J Bilinski  
 Wall Marjama & Bilinski  
 101 South Salina Street  
 Suite 400  
 Syracuse, NY 13202

**RECEIVED**  
 APR - 5 2002  
 WALL MARJAMA & BILINSKI LLP

INTERNATIONAL APPLICATION NO.	
PCT/JP01/04156	
I.A. FILING DATE	PRIORITY DATE
05/18/2001	05/19/2000

**CONFIRMATION NO. 2576**  
**371 FORMALITIES LETTER**



\*OC00000007744526\*

Date Mailed: 04/01/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$130** Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/031,360	PCT/JP01/04156	967 023

FORM PCT/DO/EO/905 (371 Formalities Notice)